

**Course No. DC 205 B**  
**FINANCIAL SERVICES**  
**Marks - 80**

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<b>BLOCK -1</b>	<b>MERCHANT BANKING</b>	<b>MARKS-18</b>
<b>Unit -I</b>	: Merchant Banking : Companies Act 2000, Registration of Merchant Bankers and Regulations, Authorized Activities, Terms of Authorization, Penalty System, Defaults in Prospectus, Project Preparation and Appraisal.	
<b>Unit - II</b>	: Pre-Issue Management - Pre-Issue Management Activities (stepwise), Pre-Issue Management and Pricing, Post-Issue Management, Listing Requirement of Stock Exchanges.	
<b>Unit-III</b>	: Merchant Bankers and Portfolio Management - Portfolio, Obligation and Responsibility of Portfolio, Manager, Code of Conduct of PM/ MB, Portfolio Management Procedure and Strategy.	
<b>BLOCK - 2</b>	<b>MUTUAL FUNDS IN INDIA</b>	<b>MARKS -10</b>
<b>Unit -I</b>	: Mutual Funds in India - Definition, Concept, Advantages, Mutual Funds in India, Mutual Funds by Category, Mutual Funds by Schemes.	
<b>BLOCK - 3</b>	<b>VENTURE CAPITAL</b>	<b>MARKS -10</b>
<b>Unit - I</b>	: Venture Capital - Features, Financial Support, Technological revolution, Guidelines Issued by the Government/ SEBI.	
<b>BLOCK - 4</b>	<b>LEASING</b>	<b>MARKS -10</b>
<b>Unit - I</b>	: Leasing - Objectives of Leasing, Steps involved in Leasing Factors, influencing Leasing, Types of Lease Financing, Advantages of Leasing, Disadvantages of Leasing.	

**Unit - I** : Credit Rating - Types of Credit Rating, Limitations of Credit Rating, Scope of Credit Rating, Objectives of Credit Rating, Credit Rating Process, Credit Rating Agencies in India, Credit Rating and Information Services of India (CRISIL), CRISIL's Rating Process, Investment Information and Credit Rating Agency of India (ICRA).

***Suggested Readings:***

1. Khan & Jain : Financial Management: Text and Problems : Tata McGraw Hill, New Delhi.
2. Pandey, I.M. : Financial Management : Vikas Publishing House, New Delhi.
3. Verma, J.C. : A Manual of Merchant Banking : Bharat Law House, New Delhi.
4. Machiraju, H.R. : Merchant Banking Principles and Practice : New Age International, New Delhi.
5. Pezzullo, M.A. : Marketing Financial Services : Macmillan, New Delhi.

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