

2016
GROUP - B

205 (Financial Services)

1. (a) Discuss in detail about the Registration and Regulation of Merchant Bankers. 13

Or

- (b) Discuss the obligation and responsibility of Portfolio Managers in connection with Portfolio Management. 13

- (c) Briefly explain the following (any one): 5

(i) Code of conduct of Portfolio Managers.

(ii) Objectives of Merchant Bankers.

2. (a) "Investing in securities through mutual funds is a better choice than direct investment." Explain the statement. 10

Or

- (b) Discuss the parameters for evaluating of mutual funds scheme in India. 10

3. (a) Give a description of institutional arrangement of Venture Capital supply in the Indian Capital Market. 10

Or

- (b) What are the Government of India's guidelines for the Venture Capital Companies in the country? 10

4. (a) What do you understand by Leasing? State its advantages and limitations. $2+4+4=10$

Or

- (b) Explain the various types of Lease Financing. 10

5. (a) Explain the process and types of Credit rating Services. 16

Or

- (b) Write short notes on: $8 \times 2 = 16$

(i) Credit Rating and Information Services of India (CRISIL)

(ii) Investment Information and Credit Rating Agency in India (ICRA).

6. Write explanatory notes on any *two* : $8 \times 2 = 16$

(a) Need and importance of Foreign Capital Investment.

(b) Role of International Financial Instruments.

(c) Trends in Foreign Capital inflows in India.

(d) Regulatory aspects of FIIS.

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