

2015
GROUP - B

205 (**Financial Services**)

1. (a) Discuss the role and responsibility of Merchant Bankers during the pre-issue and post-issue period of corporate securities. 13

Or

- (b) Discuss in detail about Portfolio Management strategy and procedure followed by Portfolio managers. 13

2. (a) Briefly explain the following : **(any one)** 5

(i) Listing requirement of stock exchanges.

(ii) Registration of Merchant Bankers.

3. (a) Discuss the salient features of the SEBI regulations regarding mutual funds. 10

Or

- (b) Discuss about the organisational structure of a mutual fund institution operating in our country. 10

4. (a) What do you mean by Venture Capital ? How does it differ from finance provided by Development Banks ? 4+6=10

Or

- (b) Who can provide venture capital? Discuss in brief about the functions of venture capital institutions in India. $5+5=10$

5. (a) What do you mean by Lease Agreement? Discuss about the contents of a lease agreement. $3+7=10$

Or

- (b) Elucidate the factors that influence the lessee in selecting a lessor. 10

6. (a) Explain the objectives, scope and limitations of credit rating services. 16

Or

- (b) Write short notes on : $8 \times 2 = 16$

(i) Registration of credit rating institutions.

(ii) Credit Analysis and Research Ltd. (CARE)

7. Write explanatory notes on : **(any two)** $8 \times 2 = 16$

(a) Disadvantages of Foreign capital inflows.

(b) Investment made by OCBs.

(c) Investment made by NRIs in India.

(d) External Commercial Borrowing (ECB).